

Atomic final-state interactions in tritium decay

R. D. Williams and S. E. Koonin

W. K. Kellogg Radiation Laboratory, California Institute of Technology, Pasadena, California 91125

(Received 8 November 1982)

We calculate the effect of the Coulomb interaction of the ejected  $\beta$  ray with the bound atomic electron in the  $\beta$  decay of a tritium atom. The excited state probabilities of the residual helium ion are changed by at most 0.17% from the usual sudden approximation.

[ RADIOACTIVITY  $^3\text{H}$ ; atomic final states, neutrino mass. ]

In a recent experiment, Lubimov *et al.*<sup>1</sup> attempted to determine the mass of the electron antineutrino from the  $\beta$ -decay spectrum of a tritiated valine source, and obtained a value between 14 and 46 eV. Since this mass is comparable with atomic binding energies, one expects atomic effects on the spectrum to be important. Bergkvist<sup>2</sup> calculated several such atomic and molecular effects in the usual sudden approximation, and obtained an effective decrease in his detector resolution. Law<sup>3</sup> calculated these effects more exactly, including continuum states (total ionization of the He atom), while Fukugita and Kubodera<sup>4</sup> treated the continuum states with Coulomb effects. In this paper, we further refine the calculation of atomic state probabilities by including the Coulomb interaction of the  $\beta$  ray with the bound electron. This interaction has been studied for other nuclei,<sup>5,6</sup> where effects are expected to be more pronounced, but not, to our knowledge, for tritium.

The interaction of the atomic electron with the  $\beta$  ray is small because the  $\beta$  ray wavelength  $2\pi/k$  is small compared to the Bohr radius  $a = (me^2)^{-1}$ , where  $m$  is the electron mass and  $e$  the charge (we use units where  $\hbar = c = 1$ , so that  $e^2 \approx \frac{1}{137}$ ). At the tritium end-point energy, 18.57 keV, the usual Sommerfeld parameter  $\eta \equiv -me^2/k = -1/ka = -0.0271$  is small, so that our results need only be calculated to leading order in  $\eta$ .

We will consider a process in which a tritium atom with its atomic electron in the ground ( $1s$ ) orbital decays by emitting a  $\beta$  ray (momentum  $k$ ), leaving the atomic electron in an excited He atomic orbital  $\eta_f$ . To first order in the weak interaction  $V_W$ , the amplitude for this process is

$$T_{fi} = \langle \Psi_f^{(-)} | V_W | \Phi_i \rangle ,$$

where  $\Phi_i$  is the initial tritium  $1s$  wave function, and  $\Psi_f^{(-)}$  is the full solution to the interacting two-electron problem, specified by  $k$  and  $\eta_f$  and by incoming-wave boundary conditions. This latter scattering wave function is a solution to the Hamiltonian describing two electrons in the presence of a

He nucleus,

$$(E - H)\Psi_f^{(-)} = 0 ,$$

$$E = \epsilon_f + k^2/2m ,$$

$$H = \frac{p^2}{2m} + \frac{p'^2}{2m} - \frac{2e^2}{r} - \frac{2e^2}{r'} + \frac{e^2}{|r-r'|} ,$$

where  $(r, p)$  describe the atomic electron with final energy  $\epsilon_f$  and  $(r', p')$  describe the  $\beta$  ray, whose final energy is  $k^2/2m$  using nonrelativistic kinematics.

A perturbative approximation to  $\Psi_f^{(-)}$  accurate to the order required can be obtained by iterating the Lippmann-Schwinger equation. We partition  $H$  as

$$H = H_0 + V ,$$

$$H_0 = \frac{p^2}{2m} + \frac{p'^2}{2m} - \frac{2e^2}{r} - \frac{e^2}{r'} ,$$

$$V = -\frac{e^2}{r'} + \frac{e^2}{|r-r'|} ,$$

where we will treat the interelectron repulsion as a perturbation, and we have split the  $\beta$ -nucleus attraction ( $-2e^2/r'$ ) between  $H_0$  and  $V$  such that  $V \approx r'^{-2}$  as  $r' \rightarrow \infty$ . The Lippmann-Schwinger equation is

$$\begin{aligned} \langle \Psi_f^{(-)} | &= \langle \Phi_f^{(-)} | + \langle \Psi_f^{(-)} | V G_0^{(+)} \\ &\approx \langle \Phi_f^{(-)} | + \langle \Phi_f^{(-)} | V G_0^{(+)} , \end{aligned}$$

where the Green's function is

$$G_0^{(+)} = \lim_{\xi \rightarrow 0^+} (E - H_0 + i\xi)^{-1}$$

and  $\langle \Phi_f^{(-)} |$  is the solution to  $H_0$  with energy  $E$  and the required boundary conditions. Specifically,

$$\langle rr' | \Phi_f^{(-)} \rangle = \chi_{\eta_f}(r) u_k^{(-)}(r') ,$$

where  $\chi_{\eta_f}$  is the final  $Z = 2$  atomic orbital, bound or continuum, and  $u_k^{(-)}$  is the Coulomb-distorted plane wave with incoming spherical waves,

$$u_k^{(-)}(r') = 4\pi \sum_{lm} i^l e^{-i\sigma_l} \frac{F_l(kr')}{kr'} Y_{lm}^*(k) Y_{lm}(r') .$$

Here,  $F_l$  are the usual Coulomb wave functions<sup>7</sup> for charge  $Z = 1$ , and  $\sigma_l$  are the related Coulomb phase shifts. We thus approximate the decay amplitude as

$$\begin{aligned} T_{fi} &= T_{fi}^{(0)} + T_{fi}^{(1)} , \\ T_{fi}^{(0)} &= \langle \Phi_f^{(-)} | V_W | \Phi_i \rangle , \\ T_{fi}^{(1)} &= \langle \Phi_f^{(-)} | V_G^{(+)} V_W | \Phi_i \rangle . \end{aligned}$$

For the allowed decay considered here, we may safely take  $V_W$  to create the  $\beta$  ray at the nucleus with zero angular momentum. Thus, apart from an overall constant,  $V_W = \delta(r')$ , and so, in an obvious notation,

$$\begin{aligned} T_{fi}^{(0)} &= u_k^{(-)*}(0) \int d^3r \chi_{n_f}^*(r) \chi_i(r) , \\ T_{fi}^{(1)} &= \int d^3r d^3r' u_k^{(-)*}(r') \chi_{n_f}^*(r) \\ &\quad \times V(r, r') \langle rr' | G_0^{(+)} | \chi_i; 0 \rangle . \end{aligned}$$

Upon identifying  $u_k^{(-)*}(0) = e^{i\sigma_0} C_0(\eta)$ , with  $C_0$  the usual Gamow factor,<sup>5</sup> we see that

$$T_{fi}^{(0)} = e^{i\sigma_0} C_0(\eta) \int d^3r \chi_{n_f}^* \chi_i$$

is the usual sudden approximation amplitude, corrected for  $Z = 1$  Coulomb distortion. To treat  $T_{fi}^{(1)}$ , we use a spectral representation of  $G_0^{(+)}$  in terms of the  $Z = 2$  atomic states  $\chi_n$  to obtain

$$\langle rr' | G_0^{(+)} | \chi_i; 0 \rangle = \sum_n \chi_n(r) g_{E-\epsilon_n}^{(+)}(r', 0) \langle \chi_n | \chi_i \rangle ,$$

where  $g_E^{(+)}$  is the  $Z = 1$  single-particle Coulomb Green's function. Since the atomic energies  $\epsilon_n$  are small compared to the total energy,  $E \approx 18$  keV, we may set  $E - \epsilon_n \approx E$ , independent of  $n$ , and employ closure to obtain

$$\langle rr' | G_0^{(+)} | \chi_i; 0 \rangle = g_E^{(+)}(r', 0) \chi_i(r) ,$$

which gives for the first order amplitude,

$$\begin{aligned} T_{fi}^{(1)} &= \int d^3r d^3r' u_k^{(-)*}(r') \chi_{n_f}^*(r) \\ &\quad \times \left[ \frac{e^2}{|r-r'|} - \frac{e^2}{r'} \right] g_E^{(+)}(r', 0) \chi_i(r) . \end{aligned}$$

Since the  $\beta$  ray has zero angular momentum,

$$\begin{aligned} u_k^{(-)*}(r') &= e^{i\sigma_0} \frac{F_0(kr')}{kr'} , \\ g_E^{(+)}(r', 0) &= \frac{\eta k (G_0 + iF_0)(kr')}{2\pi e^2 kr'} , \end{aligned}$$

where  $G_0$  is the irregular Coulomb function. We can safely discard the  $l \neq 0$  atomic final states, which have only order  $\eta^2$  changes in their probabilities, since  $T_{fi}^{(0)}$  is zero.<sup>8</sup> By using the asymptotic expressions for the Coulomb functions,<sup>5</sup> to order  $\eta$ , we can write

$$T_{fi}^{(1)} = e^{i\sigma_0} C_0(\eta) \langle \chi_{n_f}(r) | Q(kr) | \chi_i(r) \rangle ,$$

$$\begin{aligned} Q(x) &= 2\eta \int_0^x dx' \sin x' e^{ix'} \left[ \frac{1}{x} - \frac{1}{x'} \right] \\ &= -\eta \left[ -\frac{1}{2x} + \frac{\pi}{2} + i(\ln 2x + \gamma - 1) \right] , \end{aligned}$$

where  $\gamma = 0.5772$  is Euler's constant. Note that  $-\eta\pi/2 - i\eta\gamma$  is  $x$  independent. It therefore gives a contribution proportional to  $T_{fi}^{(0)}$  and, indeed, reflects the change in  $e^{i\sigma_0} C_0(\eta)$  going from  $Z = 1$  to  $Z = 2$ .

The required matrix elements of  $Q$  can be found analytically for the bound states; those of  $1/r$  can also be found analytically for the continuum final states. However, for the  $\ln r$  continuum matrix element we

TABLE I. Matrix elements and decay probabilities to various  $\text{He}^+$  states.

State	$\langle f   \frac{a}{r}   i \rangle$	$\langle f   \ln \frac{r}{a}   i \rangle$	$ T_{fi}^{(0)} ^2$	$ T_{fi}^{(0)} + T_{fi}^{(1)} ^2$
1s	1.257 08	-0.147 35	70.23%	70.06%
2s	0.	0.614 82	25.00%	25.17%
3s	-0.031 35	0.096 66	1.27%	1.28%
4s	-0.023 28	0.052 81	0.38%	0.39%
5s	-0.017 42	0.035 29	0.17%	0.17%
Continuum			2.63%	2.62%
Total			99.69%	99.69%

used the approximation

$$\langle F_0(kr)/kr | \ln r | 1s \rangle = \langle F_0(kr)/kr | 1s \rangle \\ \times \frac{\langle j_0(kr)/kr | \ln r | 1s \rangle}{\langle j_0(kr)/kr | 1s \rangle} .$$

We have also estimated the exchange contributions to the decay amplitudes ( $\beta$  ray emitted into a  $Z = 2$  atomic orbital and original atomic electron ejected) to be lower by a factor  $\eta^2$  than the direct contributions calculated above, and thus negligible.

Table I shows matrix elements of  $a/r$  and  $\ln r/a$  between the  $Z = 1$   $1s$  state and the  $Z = 2$   $ns$  states,

for  $n = 1$  to  $5$ , and the probabilities of the  $ns$  states and the probability for ionization, normalized so that the sum of the probabilities in each column is the same. This sum is not exactly unity since bound  $s$  states with  $n \geq 6$  have been neglected.

The corrections are of order 0.2% for the  $1s$  and  $2s$  states and much smaller thereafter. In the analysis of the Lubimov experiment,<sup>1</sup> a change in the  $\text{He}^+$   $2s$  probability from zero to 0.3 changes the inferred antineutrino mass by 15 eV, so that the effects of the electron-electron interaction are negligible until the antineutrino mass is known to within 0.1 eV, which seems far beyond present experimental capabilities.

- <sup>1</sup>V. A. Lubimov, E. G. Novikov, V. Z. Nozik, E. F. Tretyakov, and V. S. Kosik, Phys. Lett. **94B**, 266 (1980).  
<sup>2</sup>K. -E. Bergkvist, Phys. Scr. **4**, 23 (1971).  
<sup>3</sup>J. Law, Phys. Lett. **102B**, 371 (1981).  
<sup>4</sup>M. Fukugita and K. Kubodera, Z. Phys. C **9**, 365 (1981).  
<sup>5</sup>E. L. Feinberg, J. Phys. (Moscow) **4**, 423 (1941); R. L. Intemann, in *Inner Shell and X-Ray Physics of Atoms and Solids*, edited by D. J. Fabian (Plenum, New York, 1980), p. 295; I. S. Batkin, Yad. Fiz. **33**, 48 (1981) [Sov. J.

Nucl. Phys. **33**, 25 (1981)].

<sup>6</sup>R. L. Intemann, Phys. Rev. A **26**, 3012 (1982).

<sup>7</sup>M. Abramowitz and I. A. Stegun, *Handbook of Mathematical Functions* (Dover, New York, 1964), Chap. 14.

<sup>8</sup>Intemann, in Ref. 6, states that higher partial waves are important below  $l_{\max} = 1/ka = -\eta = 0.0271$ , which is further support for this approximation. We find the probability of exciting the  $2p$  state to be 0.01%.